CO₂ Activation

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Carboxylation of Methyl Acrylate by Carbon Dioxide Radical Anions in Gas-Phase Water Clusters**

Amou Akhgarnusch, Robert F. Höckendorf, Qiang Hao, K. Philip Jäger, Chi-Kit Siu,* and Martin K. Beyer*

Dedicated to Professor Wolfgang Domcke on the occasion of his 65th birthday

With the growing interest in using carbon dioxide (CO₂) as a C₁ building block in chemical synthesis^[1,2] and as a hydrogen-storage material,^[3] the molecular principles of CO₂ activation have attracted considerable attention in gasphase studies.^[4] Of particular interest in applications is the addition of CO₂ to olefins.^[2] Depending on the reaction conditions, the carboxylation of methyl acrylate (CH₂=CHCOOCH₃, MA) in electrochemical syntheses^[5-7] may proceed by addition of CO₂ to the methyl acrylate radical anion MA^{*-} or by addition of the carbon dioxide radical anion CO₂⁻⁻ to neutral MA.^[6] To better understand the mechanism of this reaction in aqueous media on a molecular level, we investigated the addition of CO₂⁻⁻ to MA in gas-phase water clusters by a combination of Fourier transform ion cyclotron resonance (FT-ICR) mass spectrometry and quantum chemical calculations.

 ${\rm CO_2}^{-}({\rm H_2O})_n~(n\approx 50{\rm -}100)$ clusters were generated in a laser vaporization ion source^[8-10] and transferred to the ICR cell, where they were allowed to collide with MA at a pressure of 7.5×10^{-9} mbar. The mass spectra in Figure 1 show clearly that ${\rm CO_2}^{-}({\rm H_2O})_n$ clusters quantitatively take up one MA molecule, which stays in the cluster. The reaction is accompanied by black-body radiation-induced dissociation (BIRD) of water molecules from the clusters.^[11] After a reaction delay of 35 s, the clusters have "boiled down" to $[{\rm CO_2},{\rm MA},{\rm H_2O}]^{-}$, which decays by evaporation of a neutral ${\rm CO_2}$ to form $[{\rm MA},{\rm H_2O}]^{-}$. This monohydrated methyl acrylate radical anion undergoes electron detachment. The final

[*] M. Sc. A. Akhgarnusch, Dr. R. F. Höckendorf, K. P. Jäger, Prof. Dr. M. K. Beyer Institut für Physikalische Chemie Christian-Albrechts-Universität zu Kiel Olshausenstrasse 40, 24098 Kiel (Germany) E-mail: beyer@phc.uni-kiel.de Dr. Q. Hao, Dr. C.-K. Siu Department of Biology and Chemistry City University of Hong Kong 83 Tat Chee Avenue, Kowloon Tong, Hong Kong (China) E-mail: chiksiu@cityu.edu.hk

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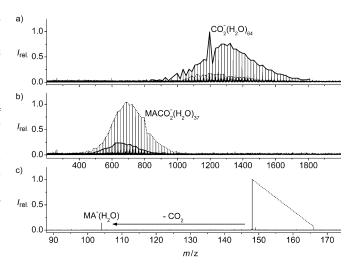


Figure 1. Mass spectra of the reaction of $CO_2^-(H_2O)_n$ ($n \approx 50$ –100) with CH_2 =CHCOOCH₃ (MA) at a pressure of 7.5×10^{-9} mbar, after reaction delays of a) 0 s, b) 7 s and c) 35 s.

stage of the reaction can be quantitatively fitted with a kinetic model consisting of first-order reaction steps (for details see the Supporting Information).

In order to quantitatively fit the reaction kinetics and to extract thermochemical information from the data, the intensities of reactant and product clusters were summed over all cluster sizes, and their average sizes were calculated as a function of time. Figure 2a shows that the kinetics exhibits pseudo-first-order behavior, with a rate constant of $k_{\rm abs}=1.6\times 10^{-9}~{\rm cm}^3{\rm s}^{-1}$. This compares well with theoretical estimates of the collision rate; [12] hard-sphere average dipole orientation theory (HSA) yields $k_{\rm HSA}=1.4\times 10^{-9}~{\rm cm}^3{\rm s}^{-1}$, while the surface charge capture model (SCC) results in $k_{\rm SCC}=2.7\times 10^{-9}~{\rm cm}^3{\rm s}^{-1}$, equivalent with efficiencies of $\Phi_{\rm HSA}=114\,\%$ and $\Phi_{\rm SCC}=60\,\%$.

A nanocalorimetric fit^[10,13] (Figure 2b,c) reveals that during the uptake of MA, on average (2.2 \pm 0.5) water molecules evaporate from the cluster, equivalent to a reaction enthalpy of (-95 ± 22) kJ mol $^{-1}$. [14] The uptake of one and only one MA molecule together with the high exothermicity of the reaction strongly indicate that a covalent bond is formed between CO2 $^{-}$ and MA. The [CO2,MA] $^{-}$ radical anion is stabilized by hydration. When the hydration shell is "boiled down" to only one water molecule, the newly formed C–C bond is broken again, and a neutral CO2 molecule evaporates from the cluster.



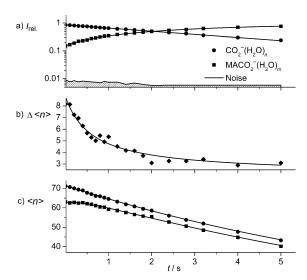


Figure 2. a) Kinetic fit of the reaction of $CO_2^{--}(H_2O)_n$ ($n \approx 50$ –100) with CH_2 =CHCOOCH₃, summed over reactant and product intensities. b) Nanocalorimetric fit to the difference of the average cluster size of reactant and products $\Delta < n >$ and c) to the average cluster size < n > as a function of time.

Reaction of CO₂. with MA involves a radical addition to the unsaturated C=C bond, giving two possible carboxylated isomers either by reaction (a) to form the monomethyl succinate radical anion (I) or by reaction (b) to form the monomethyl methylmalonate radical anion (II) (Scheme 1).

Scheme 1. Reactions between MA and CO_2^{--} . Reaction energies $(\Delta H^o_0/k] \, \text{mol}^{-1}$) for the formation of products **Pn_a** and **Pn_b**, where n denotes the number of water molecules presented, were evaluated at the B3LYP/6-311 + + G(d,p) level of theory.

Isomer I is predicted to be the preferred product because the radical is secondary and stabilized by π -conjugation with the ester group. In addition, the radical addition to the terminal carbon is less sterically hindered. This is supported by density functional theory (DFT) calculations; reaction (a) and reaction (b) for bare $CO_2^{\bullet-}$ are exothermic, respectively, by -90 kJ mol^{-1} and -45 kJ mol^{-1} , as evaluated at the B3LYP/6-311++G(d,p) level of theory, forming products **P0_a** and **P0_b**. Solvation does not significantly change the reaction energies; reaction (a) and reaction (b) for $CO_2^{\bullet-}(H_2O)_5$ are exothermic by -89 kJmol^{-1} (**P5_a**) and -45 kJ mol^{-1} (**P5_b**), respectively. The theoretical exothermicity for the formation of the thermodynamically more favorable **P5_a** agrees within error limit with the experimental nanocalorimetric value of $(-95 \pm 22) \text{ kJ mol}^{-1}$.

The reaction mechanisms are straightforward as illustrated with the n=5 cluster as shown in Figure 3. For

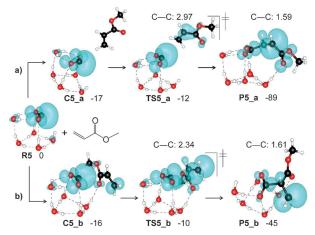


Figure 3. Mechanisms for the reaction between MA and $CO_2^{-}(H_2O)_5$. Geometries and spin-density distributions were calculated at the B3LYP/6-311++G(d,p) level of theory. All energies (in kJ mol $^{-1}$) are relative to that of the reactants (i.e. MA+CO $_2^{-}(H_2O)_5$). The lengths of the C–C bond being formed are given in Ångstrom.

reaction (a), the MA is solvated on the cluster to form the complex $C5_a$ with a binding energy of only $-17 \, kJ \, mol^{-1}$, around half the value of the binding energy of one water molecule to the cluster. This weakly bound complex undergoes a radical attack of CO_2 on the terminal olefin carbon, against a barrier of only $5 \, kJ \, mol^{-1}$, via the transition structure $TS5_a$ which has a long C-C distance of $2.97 \, Å$. The reaction is driven thermodynamically by the formation of the strong C-C bond $(1.59 \, Å)$ and the radical in the resulting product $P5_a$ is stabilized by π -conjugation. The theoretical calculations are consistent with the experimental observations that only one MA can be taken up by the cluster, while binding of a second MA will be very weak and inefficient.

The alternative reaction (b) also involves an intermediate complex **C5_b** with the MA binding energy of -16 kJ mol^{-1} , similar to that for **C5_a**. The transition structure **TS5_b** associated with the formation of the product **P5_b**, in which the radical is mainly located on the primary carbon, is 2 kJ mol^{-1} above **TS5_a** in energy. Reaction (b) is less likely to occur because the barrier is slightly higher and the resulting product **P5_b** is thermodynamically less stable. The reverse reaction with a barrier of 35 kJ mol^{-1} (which is less than the energy required to evaporate a water molecule) is still accessible in the cluster, while the product **P5_a**, once it is formed, is more likely to be stabilized by water evaporation rather than undergo C–C bond rupture with a barrier of 77 kJ mol^{-1} .

The experimentally observed elimination of CO_2 from $[CO_2,MA,H_2O]^{-}$ indicates that the newly formed C-C bond is more stable against homolytic than heterolytic bond cleavage. To learn more about this decay channel, we calculated the potential energy surface (PES) for the final reaction steps, that is, CO_2 elimination followed by electron detachment for the n=1 product cluster. The results are shown in Figure 4. In the structure **P1_a** located at the global minimum on the PES, H_2O forms two hydrogen bonds with the carboxylate group. Before CO_2 can be eliminated from this position, H_2O has to move to a different binding site to

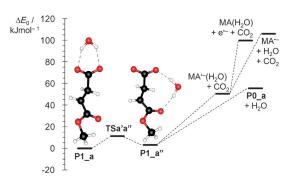


Figure 4. Potential energy surface for the decay channels of $[CO_2,MA,H_2O]^{\bullet-}$ (P1_a) calculated at the B3LYP/6-311 + + G(d,p) level of theory. The experimentally observed CO₂ elimination lies 5 kJ mol⁻¹ lower in energy than the potentially competing loss of H₂O. Similarly, electron detachment from MA $^{-}$ (H $_2$ O) is 6 kJ mol $^{-1}$ lower in energy than loss of H2O.

form **P1** a", which is only 3 kJ mol⁻¹ higher in energy than P1_a. CO₂ elimination from P1_a" is endothermic by 47 kJ mol⁻¹, resulting in a total binding energy of $50 \, kJ \, mol^{-1}$ for neutral CO_2 to the monohydrated methyl acrylate radical anion, 5 kJ mol⁻¹ lower than the binding energy of water to P0_a. Adiabatic electron detachment from MA•-(H₂O) requires another 50 kJ mol⁻¹, which is 6 kJ mol⁻¹ lower than the potentially competing loss of water to form the unsolvated MA*-.

Experiment and theory in the gas phase provide a mechanistic understanding of the observations from electrochemistry in the condensed phase.^[5-7] The carboxylated radical anion can be formed in two ways, by addition of CO2. to neutral methyl acrylate, or by addition of neutral CO2 to the methyl acrylate radical anion. The decay of the product will preferentially occur as the reverse reaction of the latter pathway. The results show that the chemistry of CO2 in the presence of an extra electron is very subtle. The good news is that C-C bond formation between CO₂. and olefins readily occurs, with significant bond strengths and moderate barriers. To proceed to intact products, they need to be stabilized before elimination of neutral CO₂ takes place.

Experimental Section

The experiments were performed on a modified Bruker/Spectrospin CMS47X FT-ICR mass spectrometer described in detail previously. [9,10] CO₂·-(H₂O)_n ions were generated by laser vaporization of a solid zinc target and supersonic expansion in helium seeded with traces of water vapor and carbon dioxide.[10] To minimize changes in the initial cluster size distribution, the vaporization laser and frequency doubling crystal were heated by 20 laser shots, followed by 20 laser shots at 10 Hz and 5 mJ pulse energy to generate the ions. The reaction delay is measured relative to the end of the fill cycle, at nominal t = 0 s. Reaction products are observed at nominal 0 s, since the clusters have been exposed to the reaction gas for up to 2 s. Methyl acrylate (Fluka, > 99.5 %) was introduced into the cell region of the mass spectrometer through a needle valve at a constant pressure of 7.5×10^{-9} mbar, calibrated as described previously.^[16] The reaction was monitored by recording mass spectra at different reaction delays. The Gaussian 09 program package^[17] was employed for quantum chemical calculations. All calculations were performed using density functional theory at the B3LYP/6-311 ++ G(d,p) level.

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